

Electronic Acknowledgement Receipt

EFS ID:	4514562
Application Number:	10627646
International Application Number:	
Confirmation Number:	3617
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
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Filer Authorized By:	Shawn W. Odowd
Attorney Docket Number:	10392-460042
Receipt Date:	23-DEC-2008
Filing Date:	28-JUL-2003
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180

RAM confirmation Number	1537
Deposit Account	110600
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	SupplementalIDS.pdf	86509 bf28e011362d6764b065703e8f16e407aef58ba	no	3

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (5B/08)	1449.pdf	114996 9a83422f92b6f88e64104052ae5b419dc1f1a07e	no	4
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Warnings:

Information:

This is not an USPTO supplied IDS fillable form

3	Fee Worksheet (PTO-06)	fee-info.pdf	30188 328b398a42396c42374ac01ab7aa04545d8f7376a	no	2
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Warnings:

Information:

Total Files Size (in bytes):	231693
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.